

Audit Committee Action Plan

(Updated following meeting held on 27 June 2016)

Minute No. /Agenda No.	Actions	Timeline	Action Owner
Finance (Budget)			
AN4.1 23.03.15	Audit Committee to receive a report highlighting how the Committee can add value, on behalf of the Council, in setting the Budget.	Ongoing as part of financial update comments	C Salter
MN5 22.03.16	Accounting Policies Update A report be presented to the Committee later in the year providing an update on progress on the task of valuing the infrastructure asset.	29.11.16	C Salter/ R Green
27.06.16	Financial Update The Committee would welcome clarification of the position regarding the continual overspends in the Social Services Directorate.		C Salter/T Young
27.06.16	Draft Statement of Accounts / AGS 2015-16 1. Subject to the comments received in respect of the 2015/16 draft Statement of Accounts as set out above, note that these Accounts are to be signed by the Corporate Director Resources and submitted for external audit and public inspection;		C Salter
	2. Note that the Audited Statement of Accounts for 2015/16 will be reviewed by this Committee in September 2016, prior to being presented to Council;	19.09.16	C Salter
	3. The Corporate Director Resources present a report on changes to the management arrangements for Welsh Pension Funds to the next meeting of the Committee.	19.09.16	C Salter
27.06.16 (pre-meeting item)	Finance Updates When setting the Audit Committee Agenda, published scrutiny letters to be considered.	Standing Item completed	C Salter/ G Nurton/
27.06.16	Financial Resilience (WAO) Chris Pugh provides members with information relating to which directorate delivery plans did not link to the Medium Term Financial Plan (MFTP). The information to be circulated to all Members of the Committee via email.	05.09.16 Completed 05.09.16	C Pugh
Governance & Risk Management			
MN31 30.11.15	Constructing Excellence Wales on Bldg. Maintenance Framework and Action Plan That Internal Audit follow-up on the action plan and the work on the future framework arrangements has been completed and is on the work programme for March 2017.	28.03.17	I Allwood
27.06.16	Audit Committee Annual Report 2015-16 That the Audit Committee Annual Report 2015/16 be approved for consideration by Council.	To be taken to Council 29.09.16	Chair
Wales Audit Office (WAO)			

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MN10 22.03.16	Improvement Plan of Work & Annual Financial Audit Outline WAO be requested to collate further benchmarking data.	24.01.17	Janet McNicholas
MN9 22.03.16	Corporate Assessment Update The Head of Performance be invited to attend a future meeting of the Audit Committee		J Reay
MN9 22.03.16	The Chief Executive be invited to attend Audit Committee in 6 months to discuss alignment between the Organisational Development Programme and Medium Term Financial Plan;	24.01.17	CEO
MN9 22.03.16	WAO be invited to provide input from a WAO perspective in 6 and 12 months' time.	24.01.17 & July 17	N Jenkins
Treasury Management			
Internal Audit			
	<u>Value for Money</u>		
MN55 01.02.16	The Audit Manager provide a further report on VFM studies to Audit Committee at its meeting in December 2016, and consider benchmarking with others on agency spend and standby and give further consideration to the possible introduction of business cases for standby arrangements.	29.11.16	Audit
MN34 30.11.15	The Audit & Risk Manager to consider whether a random check of 'High/Satisfactory' school CRSA's should be undertaken.	2016-17 Plan	Audit
27.06.16	Progress Update Audit section provide further details of the carried over recommendations from audits, at the next meeting of the Committee.	29.11.16	S Powell
27.06.16	Internal Audit Annual Report Members suggested that investigation data on dismissals and registrations could be given context e.g. a comparison with the prior year figure. Taken on board for future years.	Completed	I Allwood/ S Powell
27.06.16	Internal Audit Plan 2016-17 In respect of the ADM projects, Members requested that sufficient time be set aside in the Audit Plan to provide assurance that ADM targets are being met. Taken on board.	Completed	I Allwood/ S Powell
Progress Reports & Value for Money Studies			
MN8a 22.03.16	Delivering With Less: Leisure Services The Economy and Culture Scrutiny Committee be requested to consider the WAO report 'Delivering with Less: Leisure Services' as part of its scrutiny of alternative delivery models. Letter sent 13.06.16 and presented to Audit Committee 19.09.16.	Completed	Chair/ I Allwood
Outstanding Actions			
AN10.2 22.06.15	<u>Education – Governance</u> The Director of Education to provide a further briefing on school balances.	19.09.16	N Batchelar

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MN16 22.03.16	Audit and Risk Manager stated that the Director of Education will attend to discuss school deficits.	19.09.16	N Batchelar
MN57 01.02.16	An update will be provided at the Committee's meeting in March on the VFM exercise on supply teachers.	19.09.16	N Batchelar / Audit
AN10.2 22.06.15	<u>Director Strategic Planning & Highways – Response to Internal Audit Report</u> That the Committee receives a further progress report in six months.	19.09.16	Audit
Work Programme			
MN22 16.09.15	That the WAO reports expected for 2015-16 be added to the work programme.	When available	Audit
MN16 22.03.16	An Audit Committee Self-Assessment Workshop will take place in September.	19.09.16	Committee Members
Matters Arising			
Items of Interest for Members			